

# **CAMPAIGN REPORT DISCREPANCIES REPLY REQUIRED**

TO:           Treasurer     Mark Williams  
               Committee     Committee to Elect Lonnie Maines for Sheriff  
               Address       353 Jonestown Road, #195  
                               Winston-Salem, NC 27104

FROM:       Campaign Finance Office

REPORT IN QUESTION:  
2006 First Plus

DATE:       04/20/2006

A recent preliminary audit of reports filed revealed the following discrepancies. Please supply this office with the missing or corrected information in order to complete the reports. A more detailed audit of the reports listed will be conducted after the following information is provided.

This is your first notice. You must respond within thirty days of receipt of this notice.

Failure to respond will result in noncompliance. In order to comply with the required information, the forms to amend are provided for completion. Amend only the forms required.

- ☐ The depository information was not listed on the Political Committee Disclosure Report.
- ☐ Addresses were either missing or incomplete. Contributions received without the contributor's complete name and mailing address that remain incomplete for forty-five (45) days are considered anonymous and must be paid over to the State Board of Elections for deposit to the general fund of the State. All disbursements must be listed by name and complete mailing address of the payee.
- ☐ Joint contributions, which are prohibited, were listed on the Report of Contributions. You must determine the individual amount of contribution for each contributor.
- ☐ Some or no dates were shown on the reports. A date is required for each entry.
- ☐ Details were not provided for the sums listed on the Detailed Summary Page
- ☐ Method of payment not provided
- ☐ Contributions over \$100 are listed with "cash" being the method of payment.
- ☐ Contributions over \$100 are listed as "aggregated individual contribution" (AIC).
- ☐ The ending balance is negative. The Committee cannot operate on a negative balance.

- ☐ Some of the occupation information was incomplete or incorrect on the Itemized Receipts page(s).

Name of contributor(s):


- ☐ A contribution from a business entity/non-registered committee was listed. The contribution must be paid to the Civil Penalty and Forfeiture Fund and reported as a disbursement on the next report.
- ☐ The purpose of expenditure was not listed on the Itemized Disbursements page.
- ☐ Disbursements for media expenses are paid with cash.
- ☐ Disbursements over \$50 that are not for postage are paid with cash.
- ☐ "Sum to date" information not provided.
- ☐ We are in receipt of a Final Report, but are unable to close the Committee because there is a remaining balance of \$\_\_\_\_\_.
- ☐ No matching "In Kind" entry. "InKind" contributions must be disclosed in the Itemized Receipts and Disbursements pages. You will also need to amend your "Detailed Summary Page" to reflect these changes.
- ☐ Contributions from the following contributors exceed the \$4,000 per election limit:

\_\_\_\_\_ on \_\_\_\_\_

\_\_\_\_\_ on \_\_\_\_\_

\_\_\_\_\_ on \_\_\_\_\_

\_\_\_\_\_ on \_\_\_\_\_

The contribution amount exceeding \$4,000 must be returned to the contributor, a copy of the refund check sent to this office, and the refund reported on the next scheduled report. If the contributor is the spouse, sibling, or parent of the candidate, please advise in writing.

- ☒ OTHER CRO-1100 - After referring to the 2006 First Plus report, calculations seem to appear as the enclosed sample; check with your calculations. Line 4: right column should be 0. Other right column totals should be the accumulation of the prior report right column plus the current report left column. CRO-1205 - See attached letter regarding the \$678 contribution. CRO-1210 - the filing fee contribution from Mr. Maines should be listed as an in-kind contribution if from his personal account. The disbursement should be listed on the CRO-1310 since it is not a refund of funds (for the CRO-1320). Please correct these items in this discrepancy report and the letter to the SBOE.

Please send your reply to : Judy J. Speas Forsyth County Board of Elections 201 N. Chestnut St. Winston-Salem, NC 27101

If you have any questions please refer to the Campaign Finance section on the SBOE website, [www.sboe.state.nc.us](http://www.sboe.state.nc.us), or call (919)733-7173.

FOR THE CAMPAIGN FINANCE OFFICE:

ICR-001

# BOARD OF ELECTIONS

**Mrs. Charles A. Cardwell, Jr.**  
CHAIR

**J. Eric Elliott**  
SECRETARY

**John A. Redding**  
MEMBER



**COPY**

April 20, 2006

Ms. Kim Strach Westbrook  
State Board of Elections  
PO Box 27255  
Raleigh, NC 27611-7255

Dear Ms. Westbrook:

After receipt of the First Plus report, I spoke to Mr. Mark Williams, treasurer for the Committee to Elect Lonnie Maines for Sheriff on April 19, 2006. Further explanation was needed for the \$678 cash entry of a fund raiser on the CRO-1205 of the enclosed copy of this report. Per this telephone conversation to Mr. Williams, the entry was described as a 'pass the hat' fund raiser where attendees donated whatever they wished to the committee. I explained to Mr. Williams that this seemed to be a violation of NCGS 163-278.14: No contributions in names of others; no anonymous contributions; contributions in excess of one hundred dollars. At this time, I called Jason Schrader, Audit Specialist for the SBOE, for advice. Mr. Schrader agreed and advised that any funds that were anonymously given should be paid to the State Board by check to the Civil Penalty and Forfeiture Fund of the State of North Carolina. This disbursement would appear on the Second Quarter Report. Mr. Williams was told this in a subsequent phone call.

If you have any questions, please contact me at 336/703-2808 or Mr. Williams at 336/830-5963. Thank you for your assistance and response to this matter.

Sincerely,

Judy J. Speas  
Absentee/Campaign Reporting  
[speasjj@forsyth.cc](mailto:speasjj@forsyth.cc)

cc: Terry Cox, Interim Director  
Forsyth County Board of Elections  
Jason Schrader, SBOE Audit Specialist  
Mark Williams, Treasurer

Enc: First Plus report